## **Transactional Information Systems:**

Theory, Algorithms, and the Practice of Concurrency Control and Recovery

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"Teamwork is essential. It allows you to blame someone else." (Anonymous)



## Part I: Background and Motivation

- 1 What Is It All About?
- 2 Computational Models

#### • 2.2 Ingredients

- 2.3 Page Model
- 2.4 Object Model
- 2.5 Roadmap
- 2.6 Lessons Learned

"Between theory and practice, some talk as they were two. Between theory and practice, both can be gained." (Bhagavad-gita 5:4)

## **Reminder: Database System Layers**



## Ingredients

- Elementary operations
- Transactions (i.e., transaction program executions)
- Histories and schedules
- Characterization of correct schedules
- Protocols (i.e., rules for online algorithms)

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# Page Model

"Syntax":

#### **Definition 2.2 (Page Model Transaction):**

A **transaction** t is a partial order of steps (actions) of the form r(x) or w(x), where  $x \in D$  and reads and writes as well as multiple writes applied to the same object are ordered. We write t = (op, <)

for transaction t with step set op and partial order <.

**Example:** r(s) w(s) r(t) w(t)

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"Semantics":

Interpretation of j<sup>th</sup> step, p<sub>j</sub>, of t: If  $p_j=r(x)$ , then interpretation is assignment  $v_j := x$  to local variable  $v_j$ If  $p_j=w(x)$  then interpretation is assignment  $x := f_j (v_{j1}, ..., v_{jk})$ . with unknown function  $f_j$  and  $j_1, ..., j_k$  denoting t's prior read steps.

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## **Object Model**

### **Definition 2.3 (Object Model Transaction):**

A transaction t is a (finite) tree of labeled nodes with

- the transaction identifier as the label of the root node,
- the names and parameters of invoked operations as labels of inner nodes, and
- page-model read/write operations as labels of leaf nodes, along with a partial order < on the leaf nodes such that for all leaf-node operations p and q with p of the form w(x) and q of the form r(x) or w(x) or vice versa, we have p<q v q<p</li>

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Special case: layered transactions (all leaves have same distance from root)

Derived inner-node ordering: a < b if all leaf-node descendants of a precede all leaf-node descendants of b

## **Example: DBS Internal Layers**



### **Example: Business Objects**



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# Roadmap

Part II: Concurrency Control
3 Notions of Correctness PM
4 CC Algorithms
5 Multiversion CC
6 Notions of Correctness OM
7 CC Algorithms on Objects
8 CC on Relational DB
9 CC on Search Structures
10 Impl. & Pragmatic Issues

Part III: Recovery

- 11 Transaction Recovery
- 12 Crash Recovery Correctness
- 13 CR Algorithms PM
- 14 CR Algorithms OM
- 15 Special Issues of Recovery
- 16 Media Recovery
- 17 Application Recovery

Part IV: Coordination of Distributed Transactions 18 Distributed CC 19 Distributed Transaction Recovery

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### **Lessons Learned**

"Nothing is as practical as a good theory." (Albert Einstein)